Attorney Docket No.: SCRIP1210-4

TECH CENTER 1600/2900

PATENT AND TRADEMARK OFFICE

In re Application of: Cravatt et al) Group Art Uni	it: 1645
Application No.: 09/836,148) Examiner: Un	ıknown
Filed: April 16, 2001)	
For: PROTEOMIC ANALYSIS)	RECEIVE
CEPTIFICATION LINDER 37 CEP & 1 8		SEP 0 6 2001

CERTIFICATION UNDER 37 CFR § 1.8

I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on this date _______, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231

Assistant Commissioner of Patents Washington, D.C. 20231

ATTN: APPLICATION PROCESSING DIVISION **CUSTOMER CORRECTION BRANCH**

CORRECTION OF FILING RECEIPT

Sir:

This Communication is submitted to request correction of the filing receipt in reference to the above-identified application. The data requiring correction is indicated in yellow highlight on the attached copy of the filing receipt and herein in **BOLD**.

In Title

Please delete "Protemic Analysis" and replace with -- Proteomic Analysis --.

In re Application of:

Cravatt et al

Application No.: 09/836,148

Filed: April 16, 2001

Page 2



PATENT Attorney Docket No.: SCRIP1210-4

Applicants accordingly request(s) the corrections be made of record.

No fee is deemed necessary in connection with the filing of this paper. However, if any fee is required, the Commissioner is hereby authorized to charge the amount of this fee, or credit any overpayments, to Deposit Account No. 50-1355. A copy of the Transmittal Sheet is enclosed.

Respectfully submitted,

Date:

Lisa A. Haile, Ph.D.

Registration No. 38,347

Telephone: (858) 677-1456 Facsimile: (858) 677-1465

GRAY CARY WARE & FREIDENRICH LLP 4365 Executive Drive, Suite 1600

San Diego, California 92121-2189



United States Parent and Trademark Office

COMMISSIONER FOR PATENTS

UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 2023

www.uspta.gov

ATTY.DOCKET.NO DRAWINGS TOT CLAIMS IND CLAIMS **GRP ART UNIT** FIL FEE REC'D FILING DATE APPLICATION NUMBER **SCRIP1210-**24 15 6 475 09/836,148 04/16/2001 1645

CONFIRMATION NO. 8213

FILING RECEIPT

Lisa A. Haile, Ph.D. Gray Cary Ware & Freidenrich LLP Suite 1600 4365 Executive Drive San Diego, CA 92121-2189

Date Mailed: 06/11/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Benjamin F. Cravatt, La Jolla, CA; Erik Sorensen, San Diego, CA; Matthew P. Patricelli, San Diego, CA; Martha Lovato, San Diego, CA; Gregory Adam, San Diego, CA; RECEIVED

SEP 0 6 2001

TECH CENTER 1600/2900

Assignment For Published Patent Application

The Scripps Research Institute;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 09/738,954 12/15/2000 WHICH CLAIMS BENEFIT OF 60/195,954 04/10/2000 AND CLAIMS BENEFIT OF 60/212,891 06/20/2000 AND CLAIMS BENEFIT OF 60/222,532 08/02/2000

Foreign Applications

If R quired, Foreign Filing License Granted 06/11/2001

Projected Publication Date: To Be Determined - pending completion of Corrected Papers

N n-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Protemic-analysis

Proteomic Preliminary Class

435

Data entry by: ROBEL, ROMAN

Team: OIPE

Date: 06/11/2001

- 1 HODIOO HII ADDO AHKA BADDA HIIK OOHA OOHA OOHA DAHA OOHA AHKA OOHA HIIK OOHA AANA AANA AANA AANA AANA AANA

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents Office of Initial Patent Examination Customer Service Center Washington, DC 20231



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

www.uspto.gov

FILE COPY

CONFIRMATION NO. 8213

Bib Data Sheet

FILING DATE ATTORNEY GROUP ART UNIT CLASS SERIAL NUMBER 04/16/2001 DOCKET NO. 435 09/836,148 SCRIP1210-4 RULE **APPLICANTS** Benjamin F. Cravatt, La Jolla, CA; Erik Sorensen, San Diego, CA; Matthew P. Patricelli, San Diego, CA; Martha Lovato, San Diego, CA; Gregory Adam, San Diego, CA; ** CONTINUING DATA ******************* THIS APPLICATION IS A CON OF 09/738,954 12/15/2000 WHICH CLAIMS BENEFIT OF 60/195,954 04/10/2000 AND CLAIMS BENEFIT OF 60/212,891 06/20/2000 AND CLAIMS BENEFIT OF 60/222,532 08/02/2000 ** FOREIGN APPLICATIONS ************* IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** SMALL ENTITY ** ** 06/11/2001 ues no Foreign Priority claimed INDEPENDENT **TOTAL** STATE OR SHEETS yes no Met after 35 USC 119 (a-d) conditions **CLAIMS** COUNTRY **DRAWING CLAIMS** met Allowance 15 CA 24 Verified and Initials Examiner's Signature Acknowledged **ADDRESS** Lisa A. Haile, Ph.D. Gray Cary Ware & Freidenrich LLP Suite 1600 4365 Executive Drive San Diego ,CA 92121-2189 TITLE Proteomic analysis All Fees 1.16 Fees (Filing) ☐ 1.17 Fees (Processing Ext. of FEES: Authority has been given in Paper **FILING FEE** No. _____ to charge/credit DEPOSIT ACCOUNT
No. _____ for following: time) **RECEIVED** 475 1.18 Fees (Issue) Other Credit



RECEIPT

PATENT

Attorney Docket No.: SCRIP1210-4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Cravatt et al) Group Art Unit: 1645
Application No.: 09/836,148) Examiner: Unknown)
Filed: April 16, 2001	RECEIVED
For: PROTEOMIC ANALYSIS	SEP 0.6 age
CERTIFICATION UNDER 37 CFR §	TECH CENTER 1600/000
I hereby certify that the documents referred to as enclosed herein are be States Postal Service as first class mail on this date	ing deposited with the United
Assistant Commissioner of Patents	· · · · · · · · · · · · · · · · · · ·
A regretarit Commissioner Of Latents	

ATTN: APPLICATION PROCESSING DIVISION CUSTOMER CORRECTION BRANCH

Washington, D.C. 20231

TRANSMITTAL LETTER

Sir:

Transmitted herewith for filing in connection with the above-identified patent application, please find the following:

- (1) Request for Corrected Filing Receipt;
- (2) Filing Receipt with correction in red ink;
- (3) Return postcard.

In re Application of:

Cravatt et al

Application No.: 09/836,148/ Filed: April 16, 2001

Page 2



PATENT Attorney Docket No.: SCRIP1210-4

No fee is deemed necessary in connection with the filing of this paper. However, if any fee is required, the Commissioner is hereby authorized to charge the amount of this fee, or credit any overpayments, to Deposit Account No. 50-1355. A copy of this Transmittal Letter is enclosed.

Respectfully submitted,

Lisa A. Haile, Ph.D.

Registration No. 38,347

Telephone: (858) 677-1456 Facsimile: (858) 677-1465

GRAY CARY WARE & FREIDENRICH LLP 4365 Executive Drive, Suite 1600 San Diego, California 92121-2189

RECEIVED

TECH CENTER 1600/2900